

## BIOGRAPHICAL AFFIDAVIT

*(Print or Type)*

Full name and address of company *(Do not use group names)* \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

In connection with the above-named company, I herewith make representations and supply information about myself as hereinafter set forth. (Attach addendum or separate sheet if space hereon is insufficient to answer any question fully.) IF ANSWER IS "NO" OR "NONE", SO STATE.

1. Affiant's full name (Initials not acceptable) \_\_\_\_\_

2. a. Have you ever had your name changed? \_\_\_\_\_

If yes, give the reason for the change \_\_\_\_\_

\_\_\_\_\_

b. Other names used at any time \_\_\_\_\_

\_\_\_\_\_

3. Date and place of birth \_\_\_\_\_

\_\_\_\_\_

4. Affiant's business address \_\_\_\_\_

Business telephone \_\_\_\_\_

5. List your residences for the last 10 years starting with your current address, giving:

DATE

ADDRESS

CITY and STATE

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

6. Education: Dates, names, locations and degrees:

College \_\_\_\_\_

\_\_\_\_\_

Graduate studies \_\_\_\_\_

\_\_\_\_\_

Others \_\_\_\_\_

\_\_\_\_\_

7. List memberships in professional societies and associations \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

8. Present or proposed position with the applicant company \_\_\_\_\_

9. List complete employment record (up to and including present jobs, positions, directorates or officerships) for the past 10 years, giving:

DATE

EMPLOYER AND ADDRESS

TITLE

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

10. Present employer may be contacted      Yes      No      (Circle one)

Former employer may be contacted      Yes      No      (Circle one)

11. a. Have you ever been in a position which required a fidelity bond?

\_\_\_\_\_

If any claims were made on the bond, give details \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

b. Have you ever been denied an individual or position schedule fidelity bond, or had a bond cancelled or revoked?\_

\_\_\_\_\_

If yes, give details \_\_\_\_\_

12. List any professional, occupational, and vocational licenses issued by any public or governmental licensing agency or regulatory authority which you presently hold or have held in the past (state date license issued, issuer of license, date terminated, reason for termination). \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

13. During the last ten (10) years, have you ever been refused a professional, occupational, or vocational license by any public or governmental licensing agency or regulatory authority, or has any such license held by you ever been suspended or revoked? \_\_\_\_\_

If yes, give details \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

14. List any insurers in which you control directly or indirectly or own legally or beneficially 10% or more of the outstanding stock (in voting power). \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

If any of the stock is pledged or hypothecated in any way, give details. \_\_\_\_\_

\_\_\_\_\_

15. Will you or members of your immediate family subscribe to or own, beneficially or of record, shares of stock of the applicant insurance company or its affiliates? \_\_\_\_\_  
If any of the share of stock are pledged or hypothecated in any way, give details. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
16. Have you ever been adjudged bankrupt? \_\_\_\_\_
17. a. Have you ever been convicted or had a sentence imposed or suspended or had pronouncement of a sentence suspended or been pardoned for conviction of or pleaded guilty or nolo contendere to an information or indictment charging any felony, or charging a misdemeanor involving embezzlement, theft, larceny, or mail fraud, or charging a violation of any corporate securities statute or any insurance law, or have you been the subject of any disciplinary proceedings of any federal or state regulatory agency? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- b. Has any company been so charged, allegedly as a result of any action or conduct on your part? \_\_\_\_\_  
If yes, give details \_\_\_\_\_  
\_\_\_\_\_
18. Have you ever been an officer, director, trustee, investment committee member, key employee, or controlling stockholder of any insurer which, while you occupied any such position or capacity with respect to it, became insolvent or was placed under supervision or in receivership, rehabilitation, liquidation or conservatorship?  
\_\_\_\_\_
19. Has the certificate of authority or license to do business of any insurance company of which you were an officer or director or key management person ever been suspended or revoked while you occupied such position?  
\_\_\_\_\_ If yes, give details \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

*(Signature Page)*

Dated and signed this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_

\_\_\_\_\_. I hereby certify under penalty of perjury that I am acting on my own behalf,  
and that the foregoing statements are true and correct to the best of my knowledge and belief.

\_\_\_\_\_  
(Signature of Affiant)

State of \_\_\_\_\_

County of \_\_\_\_\_

Personally appeared before me the above named \_\_\_\_\_ personally known to me, who, being  
duly sworn, deposes and says that he executed the above instrument and that the statements and answers contained therein  
are true and correct to the best of his knowledge and belief.

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

\_\_\_\_\_  
Notary Public

My Commission Expires \_\_\_\_\_

(SEAL)