

SUMMARY OF MATERIAL INCORPORATED BY REFERENCE

806 KAR 17:290

(a) Form HIPMC-IRE-1, “Application for Certification of an Independent Review Entity, 09/2020 edition; This form shall be used to make application for certification as an Independent Review Entity (IRE) to conduct external reviews of disputes between covered persons and health benefit plans in Kentucky. An Applicant shall complete all applicable sections of the application and provide all necessary documentation as evidence of compliance with KRS 304.17A-621 through 304.17A-631, and 806 KAR 17: 290, as applicable;

(b) Form HIPMC-IRE-2, “Assignment of Independent Review Entity Form”, 09/2020 edition; This form is to be used by an Insurer or its designee to report the assignment of an external review (ER) to an independent review entity (IRE). This form is to be emailed to the Division of the Health Insurance Policy and Managed Care, Utilization Review Registration and Appeals Branch at DOI.UtilizationReview@ky.gov within one business day of assignment;

(c) Form HIPMC-IRE-3, “External Review Decision Notification Form”, 09/2020 edition; This form is to be used by an Independent Review Entity (IRE) to report external review (ER) decisions. The completed form must be sent to the insurer or private reviewer and forwarded via email to DOI.UtilizationReview@ky.gov within two (2) business days of rendering a decision;

(d) Form HIPMC-IRE-4, “Annual Independent Review Entity Report Form”, 09/2020 edition; This form is the reporting form for annual independent review entities;

(e) Form HIPMC-IRE-5, “Approval of Excess Review Fee”, 09/2020 edition; This form serves as an explanatory notice of approval process of an external review fee in excess of \$800; and

(f) Form HIPMC-IRE-6, “External Review Information Face Sheet”, 09/2020 edition; This form is for use by the insurer or private review agent assigning the external review. The completed form shall accompany the information identified on page 2 submitted to the Independent Review Entity (IRE).

CHANGES TO SUMMARY OF MATERIAL INCORPORATED BY REFERENCE

806 KAR 17:290

(a) Form HIPMC-IRE-1, “Application for Certification of an Independent Review Entity”; This is an application form that shall be used to make application for certification as an Independent Review Entity (IRE) to conduct external reviews of disputes between covered persons and health benefit plans in Kentucky. The amendments to this application add step-therapy exceptions to the potential limitations of the IRE and includes these exceptions and denials in the requested policy and procedures of the IRE. There are other technical amendments.

(b) Form HIPMC-IRE-2, “Assignment of Independent Review Entity Form”; This form is to be used by an Insurer or its designee to report the assignment of an external review (ER) to an independent review entity (IRE). The amendments add an addition to assignment details that will be used by an insurer to report an assignment of ER to the IRE.

(c) Form HIPMC-IRE-4, “Annual Independent Review Entity Report Form”, 09/2020 edition; This form is the reporting form for annual independent review entities. The amendments to this form are to require step-therapy denials to be reported on the annual IRE report sent to the Department.

(d) Form HIPMC-IRE-6, “External Review Information Face Sheet”, 09/2020 edition; This form is for use by the insurer or private review agent assigning the external review. The form is being amended to include step-therapy exception to the type of external review to be assigned to the IRE, and to amend the categories of an external review to include laboratory and step-therapy exception.